



This file was downloaded from BI Brage, the institutional repository (open access) at BI Norwegian Business School <http://brage.bibsys.no/bi>.

It contains the accepted and peer reviewed manuscript to the article cited below. It may contain minor differences from the journal's pdf version.

Jahre, M., Pazirandeh, A., & Wassenhove, L. V. (2016). Defining logistics preparedness: A framework and research agenda. *Journal of Humanitarian Logistics and Supply Chain Management*, 6(3), 372-398

<http://dx.doi.org/10.1108/JHLSCM-04-2016-0012>

Copyright policy of *Emerald Publishing Group*, the publisher of this journal:

“Emerald supports authors' voluntary deposit of their own work. Once an article has been published by Emerald, an author may voluntarily post their own version of the article that was submitted to the journal (pre-print) or the version of the article that has been accepted for publication (post-print) onto their own personal website or into their own institutional repository with no payment or embargo period.”

<http://www.emeraldgrouppublishing.com/openaccess.htm#green>

An additional written agreement between Emerald and BI Norwegian Business School states these rights to BI authors.

# Defining logistics preparedness: A framework and research agenda

*Forthcoming in Journal of Humanitarian Logistics and Supply Chain Management, Vol. 6, No.3*

Marianne Jahre, BI Norwegian Business School & Lund University

Ala Pazirandeh, University of Gothenburg

Luk Van Wassenhove, INSEAD and Academic Director INSEAD Humanitarian Research Group

## **Abstract**

### **Purpose of this paper**

This paper aims to contribute to a more complete understanding of logistics preparedness. By comparing research in preparedness and logistics with findings from empirical analysis of secondary data, we develop a definition of and framework for logistics preparedness, along with suggestions for a future research agenda.

### **Design/methodology/approach**

We link the way in which humanitarian organizations define and aim to achieve logistics preparedness with extant academic research. We critically analyze public data from 13 organizations that are active in disaster relief and review papers on logistics preparedness and humanitarian logistics.

### **Findings**

We found that, despite the increased attention, there is no unified understanding across organizations about what constitutes logistics preparedness and how it can contribute to improvements in operations. Based on our review of the academic literature, we found the same is true for humanitarian logistics research. The lack of a common understanding has resulted in low visibility of efforts and lack of knowledge on logistics preparedness.

**Research limitations/implications**

Based on research and practice, we suggest a definition of and framework for logistics preparedness with related suggestions for future studies.

**Practical implications (if applicable)**

Findings can help the humanitarian community gain a better understanding of their efforts related to developing logistics preparedness and provide a better basis for communicating the need for, and results from, funding in preparedness.

**Societal implications**

Results can support improvements in humanitarian supply chains, thereby providing affected people with rapid, cost-efficient, and better-adapted responses.

**What is original/value of paper**

Our findings contribute to humanitarian logistics literature, firstly by identifying issues related to the lack of a common definition. Secondly, we extend the understanding of what constitutes logistics preparedness by proposing an operationalized framework and definition. Finally, we add to the literature by discussing what future topics and types of research may be required.

**Keywords**

Disaster relief, Emergency preparedness, Humanitarian, Logistics preparedness, Framework

**Paper Type:** Research paper

## **1 Introduction and purpose**

An increase in the number of disasters worldwide has created complex and multi-party disaster relief operations, with associated duplications of efforts, limited information availability and transparency, lack of resources and funding, and accountability and coordination issues. The challenges have triggered a need to re-evaluate relief efforts with the purpose of increasing operational efficiency, reducing duplications, and better managing resources. In this respect, emergency preparedness, in which suitable structures are set up before the occurrence of disasters, is indisputably important (Holguín-Veras et al., 2012). Humanitarian organizations, the donor community, and researchers have all called for better preparedness to improve performance during operations. The United Nations Development Program (UNDP), for example, contends that for every US dollar invested in emergency preparedness the humanitarian community can save \$7 in the disaster aftermath (UNDP, 2015). Organizations such as the International Federation of Red Cross and Red Crescent Societies (IFRC) and the United Nations (UN), as well as donors such as the British Government, have made public calls for more preparedness (e.g. British Government, 2014; UN, 2010). However, the humanitarian world spends only 1 percent of its total international aid on minimizing disaster impact (UNDP, 2015). Accordingly, while there appears to be universal agreement about the importance of preparedness, few turn it into action. Furthermore, logistics of disaster relief operations, ranging from procurement to warehousing and delivery, can account for up to 80 percent of total costs (Van Wassenhove, 2006). This makes logistics preparedness particularly important for improving the quality, cost, and speed of operations (www.ifrc.org, 2015).

Research on logistics preparedness is limited having mainly focused on goods prepositioning (Kunz, et al. 2014). Although some studies have discussed pre-disaster structure improvements under terms such as capacity building (Pazirandeh, 2010; Tadele and Manyena, 2009) and risk management (Whybark, 2007), explicit reference to logistics preparedness, and a definition thereof, is missing. It is also unclear how logistics preparedness relates to emergency or disaster preparedness in general. Accordingly, more knowledge is needed in academia and practice on (i) what logistics preparedness is compared with general preparedness; and (ii) how organizations (and societies) prepare their logistics for disasters. This study aims to shed light on these issues and developments in practice in order to develop a more complete understanding

of logistics preparedness. By comparing extant research in preparedness and logistics with findings from empirical analysis of secondary data, we develop a definition of and framework for logistics preparedness with suggestions for a future research agenda.

In order to access a broad range of information and organizations, we systematically searched for and analyzed data published online by humanitarian organizations. We found that despite the increased attention, there is no unified understanding across organizations of what constitutes logistics preparedness and how it can contribute to improvements in operations. Based on our review of the academic literature, we found the same is true for humanitarian logistics research. The lack of a common understanding has resulted in low visibility of efforts and a lack of knowledge about logistics preparedness. Our findings make three main contributions to the humanitarian logistics literature. Firstly, we identify issues related to the lack of a common definition. Secondly, we extend the understanding of what constitutes logistics preparedness by proposing an operationalized framework and definition. Finally, we add to the literature by discussing what future topics and types of research may be required.

## **2 Literature review**

Experiences from previous disasters made researchers and practitioners realize the importance of investments *between* relief operations and not just *during* them (Thomas and Muzishima, 2005; Chaikin 2003; Van Wassenhove, 2006). Authors have connected poor logistics preparedness and a lack of understanding of logistics issues by practitioners to several problems during disaster relief operations. Extant research has claimed that practice often overlook logistics preparedness and is seldom included in general preparedness plans (Chaikin, 2003). One cause of this is a lack of financial resources. In general, it is challenging to get funds to support preparedness efforts as funding is commonly earmarked for specific operations (Van Wassenhove, 2006; Jahre and Heigh, 2008; Besiou et al. 2014; Jahre et al. 2016). Consequently, there is a need for an increased donor attention to preparedness efforts (Majewski, et al. 2010). In the following, we present a review of research on definitions and frameworks for preparedness and humanitarian logistics with the purpose of identifying a basis on which to compare results from an inductive empirical study.

## **2.1 Preparedness in a humanitarian logistics context – definitions and frameworks**

Being better prepared can help organizations improve their performance during operations, changing their focus from tactical planning alone to longer-term strategic planning (Maon et al. 2009). However, preparedness not only concerns the organizations and other actors providing international assistance. Preparedness of disaster-prone countries and the local communities is equally if not more important (Dilley et al. 2005; Wisner et al. 2003; Franklin and Todt, 2013). Cutter et al. (2008) is one of many observers to propose the term “resilience”, which she defines as “the ability of a social system to respond and recover from disasters” (p. 599). Accordingly, preparedness concerns all involved parties and is about preparing for disasters to the extent that one can respond well and return to a normal state as quickly as possible.

The humanitarian logistics literature does not offer a clear definition of general preparedness or explain how *logistics* preparedness links to it. Other concepts, such as capacity building, strategic planning, and risk mitigation are considered part of, connected to, and/or synonymous with preparedness. For example, Holguín-Veras et al. (2012) used mitigation and preparedness synonymously, defining them as the activities performed before disasters and aimed at enhancing safety and reducing impact for both people and infrastructure. Humanitarian logistics scholars often use general terms when discussing logistics preparedness. Kunz et al. (2014) and Tomasini and Van Wassenhove (2009) used “disaster preparedness”; Jahre and Heigh (2008) and Heaslip et al. (2012) simply used the term “preparedness”; while Kovács et al. (2012) and Kaneberg et al. (2016) used “emergency preparedness”. Following this broad and unclear approach to logistics preparedness, the humanitarian logistics literature suggests a wide range of (logistics) preparedness efforts, including personnel training, establishment of institutions, financial resource measures, prior planning of logistic centers and shelters, prepositioning, custom agreements with local governments, mock drills, household preparedness, handling community equipment, understanding warning/de-warning messages, first aid, and coordination.

In terms of logistics preparedness *frameworks*, Kovács and Spens (2007) provided an overall framework that distinguished between preparation, immediate response, and reconstruction phases, linking disaster prevention, risk management, strategic planning, coordination and

collaboration to the preparation phase. They mentioned decision support systems and technologies, simulation techniques, route planning in emergencies, coordination, pre-positioning and pre-purchasing, but depicted typical logistics activities such as demand and supply planning as part of the immediate response only, and not preparedness. Building on Kovács and Spens (2007), de Leeuw et al. (2012) suggested a framework for flood emergency preparedness focusing on logistical decision aspects. They included demand management (forecasting needs and logistics accessibility), supply management (outsourcing, contracting, procurement, coordination), inventory management (what items to stock, target levels, locations), and resource management (planning distribution, training, disaster preparation, cooperation). Kaneberg et al. (2016) built on Listou (2015) and Van Wassenhove (2006) and presented an empirical study of the Swedish preparedness system. Scholten et al. (2014) presented what they term an integrated resilience framework – a rather general approach constituting supply chain re-engineering, collaboration, agility (flexibility), risk awareness, and knowledge management. Caunhuye et al.'s (2012) framework, which was more concrete but rather limited and based on an extensive literature review, exemplifies the humanitarian logistics literature's focus on prepositioning and facility location when it comes to preparedness.

The most encompassing and detailed framework we identified is that of Kunz et al. (2014), who built on Kunz and Reiner (2012) and provided an extensive review of preparedness aspects put forward in the humanitarian logistics literature. They added inventory management and infrastructure planning to Van Wassenhove's (2006) five key preparedness elements, defining the two first as physical and the other five as intangible:

- Inventory – prepositioning of items
- Infrastructure – establishing networks of physical and communicational nature
- Human resources – selecting and training of organizational and local skills
- Knowledge management – streamlining learning and experiences
- Operations and process management – framework agreements, responsive supplier base and transport channels
- Financial resources – obtaining sufficient money to prepare and initiate operations

- Community – finding effective ways to collaborate with other key players, such as governments, military, business, local community, and other humanitarian organizations.

Our review discloses that neither of the identified frameworks explicitly link to *logistics* preparedness, nor do they define it. The only definition we identified in the academic literature was Listou (2015), who said that logistics preparedness is the “*efforts to design organizational structures, to organize supply chain resources, and to plan and train to ensure efficient response if preparedness is called for*” (p.115). However, his definition seems very limited and not in line with ongoing developments on resilience, and links between disaster response, preparedness, recovery, and long-term development. Furthermore, it focuses on a specific group of agencies – namely, peacekeeping missions providing international assistance – which seemingly excludes preparedness of local communities or other responding agencies.

Based on the review, we conclude that the lack of a clear and well-defined logistics preparedness concept seems to lead to mixed use of terminology, the absence of clear boundaries between logistics and general preparedness, and a lack of visibility towards donors concerning investments in logistics. This gives a wide range of efforts suggested as part of logistics preparedness, some of which we would hardly consider as logistics (for example, household preparedness and warning messages). The one definition we identified seems too narrow considering the increasing focus on local community resilience as an essential element of logistics preparedness. Accordingly, while the literature offers frameworks that can provide a starting point, there is a need for greater understanding of what *logistics* preparedness might (and might not) entail. To develop this, we turn to definitions of humanitarian logistics to see what they say about preparedness.

## **2.2 Humanitarian logistics – definitions and frameworks**

An extensive review of all definitions used in the humanitarian logistics literature is beyond the scope of this paper. However, many authors refer to the definition provided by Thomas and Mizushima (2005) or slightly adjusted versions of this (cf. Overstreet et al. 2011; Bölsche et al. 2013; Tatham 2012; Tabaklar et al., 2015). Thomas and Mizushima (2005, p. 60) argued that humanitarian logistics is “*the process of planning, implementing and controlling the efficient,*

*cost-effective flow of and storage of goods and materials as well as related information, from point of origin to point of consumption for the purpose of meeting the end beneficiary's requirements.*” Thomas and Kopzack (2005) provided a slight variation – “...for the purpose of *alleviating the suffering of vulnerable people*” – and an important addition for the purpose of this paper: “*The function encompasses a range of activities, including preparedness, planning, procurement, transport, warehousing, tracking and tracing, and customs clearance*” (p. 2). Similar to commercial logistics, we see that humanitarian logistics entails efficiency and cost effectiveness of *activities* related to the planning, implementation, and control of material and information flows from suppliers to end-customers. The difference is the inclusion of preparedness and that Thomas and Kopzack (2005) defined the aim as alleviating suffering rather than increasing profits. However, they listed preparedness as one of several logistics activities without offering any further detail of what it might entail. Furthermore, although not explicitly excluding local logistics capacity, the focus seems to be assistance provided by international organizations (such as customs clearing). Finally, the definition does not include reverse logistics activities, which has increasingly been pointed out as important in the humanitarian context (UNEP/OCHA, 2011; Peretti et al. 2015; SPREP, 2016).

Van Wassenhove (2006) took a somewhat different approach, focusing on the required *resources*, suggesting that logistics of relief operations are essentially “*the processes and systems involved in mobilizing people, resources, skills and knowledge to help vulnerable people affected by disaster*”. Finally, Kovács and Spens (2007) focused on involved *actors* constituting the supply network including donors, aid agencies, logistics providers, military, governments, and non-governmental organizations (NGOs). In a recent study, Vaillancourt (2016) presented a framework that includes actors (stakeholder environment) and resources (obstacles and types) for various disaster contexts. Including actors, resources, as well as activities is becoming increasingly common in logistics (Håkansson et al. 2009; Jahre and Fabbe Costes, 2005).

In terms of *frameworks*, Pettit and Beresford (2009) suggested that critical success factors for humanitarian logistics include strategies, transport, and capacity planning; resource, human resource, and information management; and technology utilization, continuous improvement,

supplier relations, and supply chain strategy. Swanson et al. (2013) found that the push/pull framework is of great importance when designing humanitarian supply chains/logistics. This is in line with findings in Jahre and Heigh (2008). Kovács et al. (2012) presented a framework constituting five skillset categories for humanitarian logisticians. Functional logistics skills (such as customs, transport, inventory and asset management, purchasing, forecasting, and reverse logistics) and humanitarian context skills (including emergency preparedness, fleet, security, facility and communication systems management, ethical conduct, and donor knowledge) are particularly relevant for our study. We see humanitarian logistics as a broad category, entailing typical logistics competencies on the one hand and extensive knowledge of the humanitarian context on the other. This makes *training* an essential aspect of developing logistics preparedness (Kovács and Spens, 2011; Bölsche et al., 2013; Lu et al. 2013; Hartevelde and Suarez, 2015).

The logistics setup and requirements vary with the nature of operations, changing from development (long-term and ongoing) to less predictable disaster response. Logistics requirements will also differ with the nature of the disaster (Kovacs and Spens, 2009), as well as the location (winterized tents in mountainous and cold Afghanistan after the 2005 earthquake, for example). Recurring floods and droughts in certain locations are more predictable and allow for more planning and forecasting (Chang et al., 2007) than less predictable operations such as response to earthquakes and other fast-onset disasters, where organizations may speculate regarding future needs and preposition stocks to increase their responsiveness (Jahre and Heigh, 2008). Finally, different types of equipment may be needed depending on whether disasters destroy existing transport, energy and/or communication infrastructure (Barbarosoğlu et al., 2002), and the level of development in the local community before the disaster occurred (Wisner et al. 2003). Kunz and Reiner (2012) suggested categorizing such situational factors into government, socio-economic, and infrastructure. As these factors affect the (set-up of) logistics response, they should also be accounted for in logistics preparedness.

A final important aspect constitutes performance measures of disaster relief operations. Above, we referred to the definition that suggested the overall objective is to alleviate suffering. This

is commonly further operationalized in efficiency, effectiveness, and flexibility (cf. Balcik and Beamon, 2008; Jahre and Fabbe-Costes, 2015). Efficiency refers to resource utilization and operational cost, such as the total cost of resources used, overheads, or the cost of ordering. Effectiveness measures the characteristics of deliveries such as volumes delivered. Flexibility is about the ability to respond to different types of disasters. Accountability, as discussed in Tomasini and Van Wassenhove (2009), identifies who is responsible for the different tasks and how well they perform at these tasks. The humanitarian logistics community considers accountability and sustainability to be increasingly important parts of measuring performance (Haavisto and Goentzel, 2015).

To conclude, humanitarian logistics, similar to commercial logistics, involves activities related to sourcing, procurement, handling, warehousing, transportation, and distribution. The combination of activities, resources, and actors will vary with a range of factors, making broad assessment an important activity. The definitions in the academic literature vary regarding focus on actors, activities, or resources, with neither encompassing all three layers. Furthermore, no definitions distinguish between logistics preparedness and response, such as whether the importance of logistics activities varies with the different phases. From this, we summarize the implications for logistics *preparedness* as concerned with preparing the resources, activities, and actors of relevance for planning and design of the supply chain, including needs assessment with the accompanying support processes, structures, systems, and training. The response is the mobilization of these resources by using the processes that have been developed.

### **3 A systematic review of logistics preparedness within humanitarian organizations**

Adapting MacPherson and Holt's (2007) method, we used a systematic review to map logistics preparedness efforts of humanitarian organizations. We started by outlining the review protocol, inclusion and exclusion criteria, and mapped publicly available information on the Internet by accessing, retrieving, and judging the quality and relevance of the organizations and the retrieved information (details in Appendix A and Tables A1 and A2). The search rounds (Stage 1, Table A1) helped us select an initial set of organizations by scanning titles of our Google search hits based on a set of predetermined criteria (Stage 2, Table A1). Based on exclusion criteria (Stage 3, Table A1), the list was reduced to 10 organizations. Finally, we

added three organizations commonly listed as partners in joint projects with the other identified organizations (Stage 4, Table A1). We identified and extracted the data from relevant documents (webpages and online publicly available reports discussing preparedness) for each organization using a five-stage keyword search (Appendix 1). We excluded reports related to specific missions or regions, and continued until we reached saturation (Table A2 in appendix gives details on the number of documents recorded and used). Table 1 lists the organizations and some main characteristics.

[Insert Table 1]

*Table 1: The selected organizations (Based on information 2010–2013; approximate numbers)*

Organization	Type	Level of operations	Mandate	Types of supplies	Size # Countries (Staff)	Annual budget USD	Output
<b>WFP</b>	Multi-lateral	Global	Food aid	Food related needs	80 (11,500)	3.73 bill.	80 mill. people reached 3.1 mill. ton food
<b>IFRC</b>	NGO	Global	Emergency relief	Broad range of emergency-relief-related services and products	189 (415,000)	390 mill.	150 mill. people reached
<b>MSF</b>	NGO	Global	Medical aid	Medical and nutritional products and related services	60 (30,000)	400 mill.	More than 15 mill. patients
<b>UNHCR</b>	Multi-lateral	Global	Refugee aid	Broad range of services and supplies	125 (9300)	6.8 bill.	21 mill. people of concern
<b>IOM</b>	Multi-lateral	Global	Migration planning and assistance	Services and non-food items for migrating population	100 (8400)	1.675 bill.	No information
<b>UNICEF</b>	Multi-lateral	Global	Child rights and protection	Broad range of child- and mother-related services and products	190 (11,000)	4.2 bill.	No information
<b>WHO</b>	Multi-lateral	Global	Directing and coordinating health aid	Needs related to the operating diseases	150 (7000)	4 bill.	No information
<b>CARE</b>	NGO	Global	Emergency relief and development aid	Food, relief, water, sanitation, and shelter supplies and related services	87 (9200)	647 mill.	97 mill. people reached
<b>Mercy Corps</b>	NGO	Global	Emergency, economic collapse, conflicts	Food, water, and shelter and related services	40 (3700)	300 mill.	16.7 mill. people reached
<b>World Vision</b>	NGO	Global	Emergency relief and development	Broad range of services and supplies	100 (46,000)	1 bill.	16 mill. people reached
<b>Oxfam</b>	NGO	Global	Rights and Poverty	Broad range of services and supplies	94 (10,000)	15.7 mill.	20.7 mill. people reached

<b>HelpAge</b>	NGO	Global	Elderly rights and Poverty	Broad range of services and supplies related to elderly care	65 (No information)	40 mill.	1.5 mill. people reached
<b>FEMA</b>	Bilateral	National	Emergency relief	Broad range of services and supplies	1 (14,800)	10.9 bill.	No information

Extracted data was inductively analyzed, coded, and reduced to map definitions of logistics preparedness and then map logistics preparedness efforts. The content analysis revealed two aspects discussed in all definitions, which we compared across organizations: Preparedness level (for example, organization, network, or community) and preparedness goal. Following Seuring and Müller's (2008) approach, we inductively coded and categorized logistics preparedness efforts before listing them in tabular form, followed by a regrouping to develop mutually exclusive categories. The frequency of efforts among organizations was re-stated and discussed based on the observations. Finally, we compared the identified categories of efforts with those identified in the literature.

### **3.1 Logistics preparedness as defined by organizations**

Only WFP, IFRC, Oxfam, IOM, and FEMA explicitly defined *logistics* preparedness. Except for IOM, these organizations suggested that the goal of logistics preparedness is to improve overall emergency preparedness. Other organizations including MSF, UNHCR, and UNICEF, while not having explicit definitions for logistics preparedness, defined the concept using a general emergency preparedness term. The inconsistent use of terminology for logistics preparedness was apparent across the organizations. WFP recognized logistics preparedness as an integral capacity required to ensure the emergency preparedness goals. IFRC listed logistics preparedness as a subsection to emergency preparedness, recognizing it as a general preparedness tool. For other organizations, definitions of emergency preparedness were at least partly about logistical issues:

- MSF: “*Medical and logistical supplies, in the form of pre-packaged ... stored in warehouses in key global locations.*”
- UNHCR: “*Emergency stockpiles of non-food aid items ... long-standing agreements with freight forwarders and logistics companies ... a global network of suppliers, specialist agencies and partners.*”

- UNICEF: “... *prepositioning of essential emergency items in disaster-prone states; ... partnerships with key organizations that help to improve coordination.*”

FEMA seems to consider logistics preparedness as an integral part of core capabilities to ensure the general preparedness goals, but does not discuss it within their general preparedness topics. Instead, they address it in a specific logistics unit using terms such as logistics capability and management, focusing heavily on preparedness.

Overall, the organizations discuss the *emergency* preparedness concept in relation to one or all of the following three levels: (1) local governments or communities; (2) organization; and (3) the responding network of organizations. Most organizations emphasize the importance of the whole response network, as exemplified by UNICEF’s definition of emergency preparedness: “*a contingency plan developed in coordination with field offices; prepositioning of essential emergency items in disaster-prone states; institutional partnerships with key organizations that help to improve coordination; emergency training and capacity building; and rapid deployment of pre-screened consultants. Also ... strengthening the capacity of governments and partners to prepare effectively and develop joint emergency planning mechanisms.*” On the other hand, organizations discussed *logistics* preparedness more on the second and third levels and not in relation to local governments or communities. IFRC, for example, mentioned how logistics preparedness means that the organization “*as a global network of National Societies has access and control of a competent, efficient and effective logistics service*”. However, there is ongoing work within IFRC to extend capacity building beyond its own organization. Mercy Corps and CARE see the concept more at a network level. CARE, for example present their vision for logistics preparedness as the following: “*that coordination of the supply chain along with coordinated linkages with other stakeholders including donors, freight handlers, the Logistics, and other sector Clusters, and the broader community of humanitarian responders, will enhance and speed the delivery of humanitarian aid to those in need.*” Similar to what we found in the literature, international organizations seem to have little focus on the logistics preparedness of local communities, at least based on definitions in their public statements.

In their definitions, the organizations emphasized different goals for logistics preparedness. Table 2 shows that seven different goals were mentioned for logistics preparedness. Comparing

these goals with those stated for general emergency preparedness, it becomes evident that organizations use the two concepts rather interchangeably. Several goals were mentioned for either emergency or logistics preparedness, and many only once. WFP, MSF, UNHCR, and Oxfam stated the enhancement of emergency preparedness as a goal for their logistics preparedness. IFRC was the only organization to explicitly state a common goal for emergency and logistics preparedness; see the last column, second row of Table 2, “mitigation of impact”.

[Insert Table 2]

*Table 2: Organizations’ specific goals for emergency and/or logistics preparedness*

Goals	Number of organizations emphasizing the goal in:			
	Emergency Preparedness	Logistics Preparedness	Total	Both definitions
<b>Common between the two definitions</b>				
Rapid response	7	2	9	-
Mitigate impact	4	1	5	1
Efficient resource utilization/reduced cost	2	1	3	-
Effective and efficient management	1	2	3	-
Resource accessibility	1	1	2	-
Facilitate transition to recovery and development	3	1	4	-
<b>Unique in either of the two definitions</b>				
Enhancing emergency preparedness/response	-	4	-	-
Effective response/more impact	3	-	-	-
Protect community/resources	3	-	-	-
Resilient nation/community	3	-	-	-
Mobilization (staff, supplies, resources)	2	-	-	-
Reduce risk	1	-	-	-
Enhance education and training	1	-	-	-
Sustained development	1	-	-	-
Accountable response	1	-	-	-

We can conclude that there are indeed discrepancies across organizations in terms of how they see logistics differing from general preparedness regarding levels, goals, and focus.

### **3.2 Categorizing logistics preparedness efforts made by organizations**

Table 3 summarizes logistics preparedness efforts presented in organizations’ public documents. Our analysis suggested that efforts be clustered into two main groups: intra-organizational and inter-organizational. The former comprises (1) *management and control*, which encompasses human resources, knowledge management, planning and strategy, financial resources, information management, and performance measurement; and (2) *logistics operations*, including needs assessment, procurement, warehousing, and transport and

distribution. The latter is made up of (3) *recipient community*, which relates to collaboration with and involvement of the local community and development of local resilience and infrastructures; and (4) *response network*, which addresses governments, firms, and other humanitarian organizations.

Table 3: Identified logistics preparedness efforts

Categories	Efforts	#	WFP	IFRC	MSF	UNHCR	IOM	UNICEF	WHO	CARE	Mercy Corps	World Vision	Oxfam	Help Age	FEMA
<b>Intra-organizational</b>															
<b>1. Management &amp; Control</b>															
Human resources	Training staff for general disaster response	10	x	x	x	x	x		x	x		x	x	x	x
	Training logistics staff	6			x	x	x		x					x	x
	Emergency roster	4		x	x	x			x						
	Training local staff	4					x		x					x	x
	Hiring logistics specialists	1													x
	Hiring staff for general disaster response	1					x								x
	Hiring local logistics staff	1													
Knowledge management	Lessons learnt (e.g., in training)	1	x												
	Cooperation with academia	2		x						x					
Disaster planning and strategy	Contingency planning	6	x			x	x	x							x
	Decision making models	2	x												x
	Disaster strategy development	1				x									
	Insurance systems (e.g., supply/facilities)	1				x									
Financial resources	Securing and streamlining disaster funds	6		x	x	x		x					x		x
	Securing specific funding (e.g., for ICT)	1	x												
Information management	Communication technology (inter-org)	5				x	x						x		x
	Information technology (field data)	1	x												
	Increase visibility (e.g., SC electronic systems)	1													x
Performance measurement	KPIs/benchmarking (key indicators)	2	x			x									
<b>2. Logistics Operations</b>															
Needs assessment	Modularization/standardization of supply	7	x	x	x	x		x		x		x			
	Emergency items catalogue	5		x	x	x		x	x						
	Pre-specification of supply	3				x		x	x						
	Rapid analysis/planning (e.g., GIS)	2	x					x							
Procurement	Supplier partnerships (e.g., agreements)	6	x	x	x	x		x							x
	Procurement process/system	2		x					x						
	Forecasting	2		x				x							
	E-procurement	1							x						
Warehousing	Prepositioning	11	x	x	x	x	x	x	x	x		x		x	x
	Inventory management systems	5		x	x	x			x						x
Transport and Distribution	Pre-disaster distribution centers	8	x	x	x	x		x	x	x					x
	Partnership with LSPs (e.g., agreements)	4		x				x							x
	Track and trace technology	3				x			x						x
	Increased transport fleet	2	x		x										
	Reserve air transport capacity	2	x	x											
	Distribution plans	1													x
<b>Inter-organizational</b>															
<b>3. Recipient community</b>															
Coll. & involvement	Community involvement in implementation	4			x			x		x					x

Resilience	Mapping community capacity/resiliency	9	x			x	x	x		x	x			x	x	x
	Early warning systems	7				x	x	x	x					x	x	x
	Raising awareness	7				x		x			x				x	
	Temporary housing units	2									x					x
	Disaster resistant shelters	1										x				
	Evacuation routes	1														x
	Understanding local laws and policies	1						x								
<b>4. Response network</b>																
Government	Agreements with local governments	7	x	x	x	x	x	x	x							
	Coordination with host government	6	x	x		x	x	x			x					
Firms	Public private partnerships	3						x	x							x
Humanitarian organizations	Inter-agency agreements (e.g., service provider)	8		x	x	x	x	x	x					x		x
	Logistics cluster membership	5	x			x	x	x	x						x	
	Inter-org knowledge sharing platform	5		x	x		x		x						x	
	Inter-org communication systems/processes	5	x			x	x					x				x
	Coordination training of logisticians	2				x										x
	Network mapping	2				x			x							
Total Count		55	199	19	19	18	31	14	20	19	8	5	6	4	15	21

Only nine of the 55 efforts listed were mentioned by more than 50 percent of the organizations reviewed, which illustrates the fragmented approach to logistics preparedness. Furthermore, some of the efforts listed in the logistics sections are general preparedness efforts, such as training and hiring staff for general disaster response, and the mapping of local resilience. Although the latter could of course also concern logistics, this is not explicit in the documents. Organizations vary in terms of the number of efforts included, from four by Oxfam to 21 by FEMA and 20 by UNICEF. Prepositioning is the one most frequently mentioned in logistics operations, while very few mentioned e-procurement and distribution plans. Although half of the organizations mentioned that mapping local resilience is important, few mentioned collaboration with or involvement from the local community in implementation.

#### 4 Discussion

Our findings reveal that, similar to academic literature, humanitarian practice does not provide any consensus on what logistics preparedness is. The one definition we identified in the literature does not capture the increasing focus on the local community. In practice organizations are concerned with this in general preparedness, but less so when it comes to logistics. It seems that logistics is considered a more organizational issue. The academic literature and organizations use logistics and general preparedness interchangeably, leading to a broad and blurred understanding of *logistics* preparedness, including efforts that should not be included in logistics if we compare with definitions of humanitarian logistics. Examples include training and hiring staff for general disaster response, and securing and streamlining

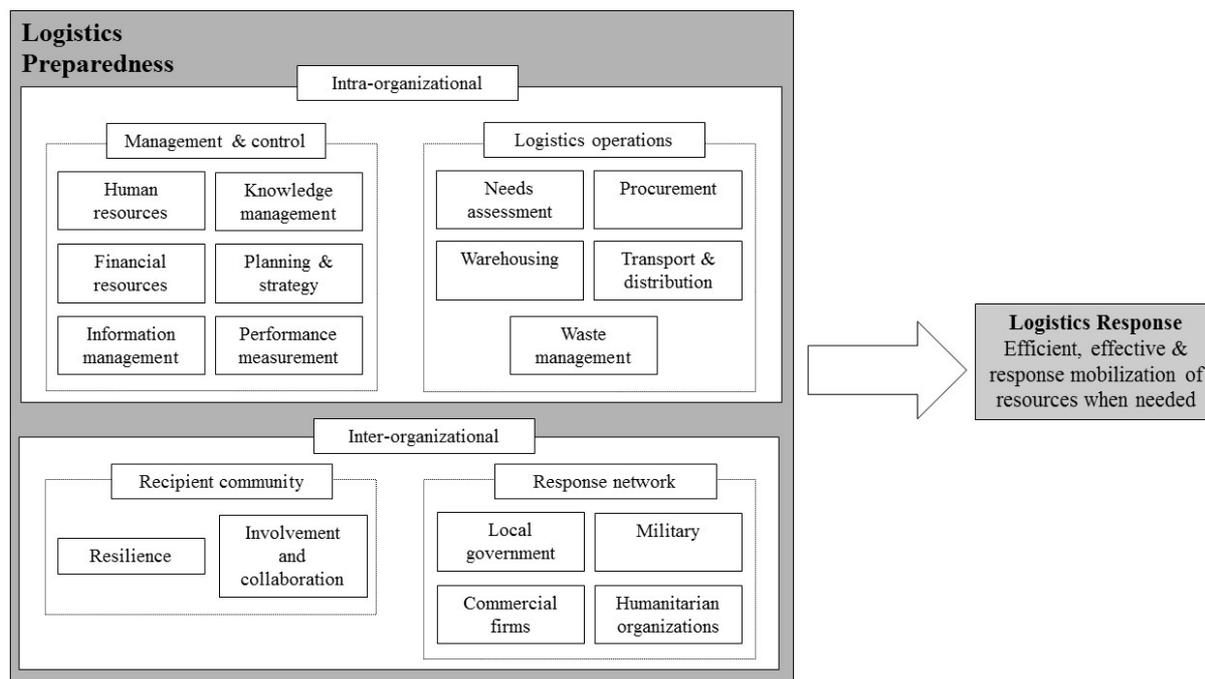
disaster funds. Although it does not distinguish preparedness from other phases, this literature states that logistics involves activities related to assessment, sourcing (including funding), procurement, handling, warehousing, transportation, and distribution, the actors performing them, and the resources required. Although not included in any definitions, reverse logistics is viewed as important. Therefore, logistics preparedness should include aspects related to waste management.

#### ***4.1 A proposed definition of and framework for logistics preparedness***

Based on our theoretical and empirical findings, we see that logistics preparedness encompasses the three layers of actors, activities, and resources. Assessment and reverse logistics come in addition to classical logistics activities such as for example procurement, and transportation. Logistics preparedness is about developing systems, structures, and processes before a disaster through planning, designing and training. Accordingly, we suggest defining logistics preparedness as *“The implementation of processes, structures, and systems connecting local community, national and international actors by designing, planning and training for efficient, effective, and responsive mobilization of material, financial, human, and informational resources when and where needed. This encompasses a range of activities, including needs assessment, procurement, warehousing, transporting and distributing, waste management, and performance measurement for the purpose of alleviating the suffering of vulnerable people.”*

The extended and concretized definition has consequences for the suggested framework depicted in Figure 1. So do the empirical findings. Comparing with the seven key elements suggested by Kunz et al. (2014), our analysis of the organizations identified additional efforts in *planning and strategy, information management, needs assessment, waste management and performance measurement*, as well as a broader scope of efforts related to *the recipient community and the response network*. The empirical analysis suggested a different grouping of efforts than the ones offered by extant research.

[Insert Figure 1]



*Figure 1: A proposed framework for logistics preparedness*

The framework suggests that understanding and development of logistics preparedness requires attention to the design, planning, training, implementation, and measurement of the individual activities in the logistics operation as well as how they connect in the whole supply chain. In logistics operations we have included waste management, which is commonly termed reverse logistics (Peretti et al. 2015). Needs assessment, in the logistics context in particular, concerns the task of assessing available infrastructure (ports, roads, service providers, etc.) so that the logistics can be set up in an appropriate way. It also requires management and control to develop systems, structures and, processes in order to ascertain appropriate management of all resources within the organizations' own boundaries as well as those in the response network and recipient community. If we use prepositioning as an example, logistics preparedness must be concerned with preparing all resources, not only the material (physical items) needed for deployment. Human resources must be trained and financial and informational resources must be available through efficient systems and structures. Furthermore, it is not sufficient for organizations to manage and control only their own resources. Logistics preparedness requires that communication and coordination channels, and relationships be developed with other actors

*before* disasters occur for the purpose of jointly developing and managing resources for mobilization in the aftermath of the disaster.

#### ***4.2 A proposed research agenda***

This section proposes directions for future research based on the suggested framework and definition and the efforts identified in the empirical analysis. We identified five themes for future research: needs assessment; inter-organizational resource management and development, with a particular focus on local communities; procurement and logistics services; reverse logistics; and supply chain design/strategy and planning. For each of the themes, we cross-referenced our findings with the most recent humanitarian logistics literature review (Leiras et al. 2014) and relevant papers.

We identified needs assessment as an important logistics activity. Apte et al. (2015) found that needs assessment is one of five essential capabilities in disaster response. Studies have shown that conducting assessments in the aftermath of a disaster can be very challenging (von Schreeb, 2007). New technologies are being called for (Starr and Van Wassenhove 2014) such as for example, the humanitarian community's testing of drones and social media to improve data collection (Holguín-Veras et al. 2012; Meier, 2014). On the other hand, the logistics/operations management toolbox offer complementary techniques to basing logistics set-ups on actual needs. These techniques include demand forecasting (Everywhere et al. 2011), scenario planning (Chang et al. 2007; Jahre et al. 2016), and GIS mapping (Holguín-Veras et al. 2012; Green et al. 2013). Further, organizations list pre-specification and standardization of items in order to cope with needs uncertainty, but still be able to respond quickly (Jahre and Fabbe-Costes, 2015). Future research could provide a comprehensive overview of the alternatives and their pros and cons in terms of performance and their requirements when developing logistics preparedness.

Prepositioning has been a definite area of focus. Organizations have invested in inventory management systems and additional warehouses. In fact, certain groups within practice and academia seem to think logistics preparedness is only about physical prepositioning of goods. Our study has shown it is much more than this, both in terms of the types of resources and

alternative preparedness methods. Suggested alternatives for reducing the cost of prepositioning include vendor-managed inventory (Van Wassenhove and Pedraza-Martinez, 2012), framework agreements (Balcik and Ak, 2013), and transfer mechanisms between programs (Bhattacharya et al. 2014). The use of existing resources in the commercial sector has been suggested; for example, 'en route' vessels functioning as sea-based warehouses (Wilberg and Olafsen, 2012). Jahre et al. (2016) studied the effect of integrating supply chains for emergencies with those for long-term operations through joint stock prepositioning, while Stauffer et al. (2015) and Besiou et al. (2015) looked specifically at fleet management. An interesting avenue for future research would be to systematically compare these and other alternatives in various types of situations; for example, using the factors suggested by Kunz and Reiner (2012).

More research is also required on alternative mechanisms for preparing other types of resources, how to combine them, and how they can substitute each other. For example, what informational, funding, and human resources are needed when expanding the network for prepositioning? What are the pros and cons of using funding to insource others' human resources versus having your own roster? Humanitarian logistics research on personnel primarily concerns training, pointing out the need for more development to keep pace with practice (Bölsche et al. 2013), offer career opportunities (Allen et al. 2013), and secure learning (Lu et al. 2013; Goffnett et al. 2013). Tint et al. (2015) suggested training humanitarians to tackle the unexpected, rather than training them in specific scenarios. Our empirical study shows that organizations attempt to develop systems for lessons learnt and cooperate with academia in their efforts to streamline learning and experiences. In line with Hartevelt and Suarez (2015) and others, we call for more evidence-based research comparing how various types of trainings work in practice. Related to this is research on knowledge management within the humanitarian sector. Tatham and Spens (2011) and Lu et al. (2013) suggested conceptual frameworks based on literature reviews. There is a lack of empirical studies.

When it comes to financial resources, many observers have pointed out the challenges of a lack of preparedness funding. However, apart from a few case studies (cf. Jahre and Heigh, 2008) more research is needed on the effect that a lack of funding for logistics preparedness has on

the humanitarian community's ability to respond. Research should also provide more understanding of prioritization in terms of funding concerned with investments in logistics preparedness. Due to the fragmented approach, logistics preparedness seems to include everything, including activities that are not commonly viewed as logistics. The suggested framework can function as a checklist for mapping existing logistics preparedness, thereby helping to identify gaps to argue for funding.

Concerning the fourth resource type – informational resources – our empirical study shows that organizations are concerned with developing inter-organizational ICT systems to increase supply chain visibility. While studies show that this is indeed helpful to improve disaster response (Altay and Pal, 2014; Maghsoudi and Pazirandeh, 2015), more knowledge is needed. One example would be a cross-sectional study to compare organizations' use of off-the-shelf systems with self-developed systems and the pros and cons of each.

Two of the organizations mentioned performance measurement with development of KPIs. Extant research has put this forward as important and proposed conceptual frameworks (Balcik and Beamon, 2008; Schiffeling and Piecyk, 2014). Apart from a few recent contributions (D'Haene et al. 2015; Haavisto and Goentzel, 2016), there is a lack of empirical studies. Applicable performance measures both for operations and preparedness should be developed (BCG, 2015). Future research should also address misalignments and trade-offs based on empirical evidence (Jahre and Fabbe-Costes, 2015; Haavisto and Goentzel, 2016).

The recipient communities are the core of relief operations and several studies have argued for the importance of community involvement (Pardasani, 2006) and of increasing local resilience (Tomasini and Van Wassenhove, 2009). Extant research calls for studies on the incorporation and integration of local social networks and community structures (Holguín-Veras, et al. 2012). Our empirical study identified efforts in line with such suggestions. However, both practice and research seem to approach this in a rather general way. It is unclear what among these efforts are part of logistics (preparedness) and what are more general. Sheppard et al. (2013) found that local populations' contributions to logistics preparedness have been considerably undervalued and underutilized. They presented a model that future research could adapt,

implement, and test in cooperation with humanitarian actors including local governments. Another interesting research opportunity would be to investigate how disaster-prone countries can improve their resilience by developing a tool that allows actors to map their existing logistics capabilities and identify gaps and ways to improve.

While procurement can account for up to 65 percent of the cost of disaster response (Schulz, 2008), we identified few papers that dealt with this topic. Due to uncertainties in funding, unpredictable demand, and regulations similar to those of public procurement, organizations have carried out their procurement in a traditional ad hoc manner through tenders. However, organizations are increasingly developing partnerships with suppliers (we found reference to this trend among four of the reviewed organizations) and engaging in cooperative purchasing (Pazirandeh and Herlin, 2014; Pazirandeh and Norrman, 2014). There is great potential for research to document such attempts, their challenges, and effects for logistics preparedness and response. Furthermore, more research is needed on the use of logistics service providers, particularly the consequences for procurement strategies and practices. Decisions concerned with outsourcing and insourcing of logistics and how logistics preparedness can be developed in cooperation with local communities, other organizations and actors, requires understanding of what organizations do and their efforts to improve. Frameworks are available (Abidi et al. 2015; Vega and Roussat, 2015) that could be used as basis for empirical studies. Vega and Roussat (2015) suggested future research on the various roles played by logistics service providers in humanitarian logistics and their effect on performance. Bealt et al. (2016) concluded that there is a need to focus more on how relationships can influence ability for better preparedness and environmentally sound operations.

We included reverse logistics in the logistics preparedness framework. Peretti et al. (2015) noted the importance of developing reverse logistics systems for non-used and reusable items, as well as for disposable items. They concluded that future research should conduct empirical studies on existing and potential actions taken by the humanitarian community.

Our empirical study found efforts related to planning and strategy, such as contingency planning, but these were quite general and did not explicitly refer to supply chain design and

strategies. An interesting avenue for future research would be to provide more understanding of how organizations design their preparedness supply chains, and which design principles fit better in which situations. Kaneberg et al. (2016) found that coordination and planning ahead of operations (that is, the permanent (preparedness) supply chain network) is required but challenging. In a literature review, Jahre (2016) found little evidence of how preparedness strategies improve performance. An exception is Nooraie and Parast (2016), who modeled the trade-off between increased investment in supply chain capabilities and reduced supply chain risks. Other examples are the studies of fleet management by Pedraza-Martinez et al. (2011), Besiou et al. (2014), and Stauffer et al. (2015). Further research could build on their approaches.

## **5. Contributions and implications**

In this study, we connected to the ongoing conversation in practice and academia on the importance of preparedness of logistics structures in order to improve the efficiency and effectiveness of disaster relief operations. By comparing extant research in preparedness and logistics with findings from empirical analysis of secondary data, we propose a definition of and framework for logistics preparedness with suggestions for a future research agenda. In so doing, we answer the two research questions. We found that despite the increased attention, there is no unified understanding across organizations of what constitutes logistics preparedness and how it can contribute to improvements in operations. Based on our review of the academic literature, we found the same is true for humanitarian logistics research. The lack of a common understanding has resulted in low visibility of efforts and a lack of knowledge in logistics preparedness.

### **5.1 Theoretical, practical and social implications**

We found that while the questions are moving away from “whether” to “how” and “how effective”, there has been little research on concept development and understanding the developments regarding logistics preparedness within the sector. By categorizing and linking the efforts identified in the literature and practice, we have developed a definition of and framework for logistics preparedness, thereby closing two important gaps in extant humanitarian logistics research. This helps make distinctions between *logistics* and general (emergency) preparedness, as well as between *logistics preparedness* and response. Hence, the

present study contributes to the understanding of logistics preparedness and the efforts that involved actors are making and could make. Based on this, we suggest a number of issues for future research. In general, we found that while extant research has mentioned and discussed a number of issues, to a certain extent, it has done so mostly at a conceptual level. There is very little empirical research, particularly using approaches other than single-case studies or a limited number of semi-structured interviews. The two exceptions are prepositioning and fleet management, which use combinations of in-depth case studies and modelling establishing causal relationships and providing generic findings outside of the studied organization.

The lack of a common framework has resulted in a fragmented and low visibility state of logistics preparedness efforts in the sector. Our study has identified that organizations seem to invest in very different aspects and vary with regard to their attention to the local community. Some arguably important categories seem to have been overlooked, at least in terms of communication; these include performance measurement, knowledge management, and strategy and planning. The lack of a clear framework also makes it difficult for organizations to evaluate their preparedness efforts, assess its effectiveness, and provide evidence of the value of preparedness investments to potential donors. A common framework may help the humanitarian actors to join forces in order to obtain funding, coordinate logistics preparedness efforts, and find alternatives/complements to the item prepositioning. A framework helps in the development of a common language and increases transparency and visibility. Such a framework would also make it easier for the stakeholders and the donor community to evaluate the effectiveness of efforts. The social implications are important because they would give better use of the existing funding and possibly increase preparedness funding, particularly in local communities, thereby providing more help to affected populations.

## **5.2 *Limitations and further research***

We have based our study on extant humanitarian logistics literature, with a particular focus on preparedness. Given that many papers concern issues related to preparedness without explicitly using the term, it was challenging to conduct this review. Rather than performing a full systematic review, we used Kunz and Reiner (2012) and Leiras et al. (2014) for cross-referencing. Our empirical approach has certain limitations: (a) a limited set of organizations;

(b) the fact that we looked at international organizations only, excluding governments and other involved actors; and (c) that we used only secondary public material. We suggest that comparative case studies of numerous actors be conducted in order to gain a more detailed understanding of developments in practice and to see whether and how these developments vary with the respective stakeholders, donors, mandates, etc.

We focused on suggesting topics for a future research agenda within logistics preparedness. Rather than going into suggesting specific theories and methodological or analytical approaches, we refer to Tabaklar et al. (2015) and Heaslip (2016) for theoretical suggestions. For analytical approaches, we refer to Van Wassenhove and Pedraza-Martinez (2012) and Besiou et al. (2011) for suggestions of operations research and system dynamics, respectively.

## References

- Allen, A.M., Kovács, G., Masini, A., Vaillancourt, A., and Van Wassenhove, L. (2013), “Exploring the link between the humanitarian logistician and training needs”, *Journal of Humanitarian Logistics and Supply Chain Management*, Vol. 3 No. 2, pp. 129–148.
- Altay, N. and Pal, R. (2014), “Information Diffusion among Agents: Implications for Humanitarian Operations”, *Production and Operations Management*, Vol. 23 No.6, pp.1015–1027.
- Apte, A., Goncalves, P. and Yoho, K. (2016), “Capabilities and competencies in humanitarian operations”, *Journal of Humanitarian Logistics and Supply Chain Management*, Vol. 6 No. 2, pp. 240–258.
- Balcik, B. and Beamon, B.M. (2008), “Facility location in humanitarian relief”, *International Journal of Logistics: Research and Applications*, Vol. 11 No. 2, pp. 101–121.
- Balcik, B., and Ak, D., (2013), “Supplier selection for framework agreements in humanitarian relief”, *Production and Operations Management*, Vol. 23 No. 6, pp. 1028–1041
- Barbarosoğlu, G., Özdamar, L., and Cevik, A. (2002), “An interactive approach for hierarchical analysis of helicopter logistics in disaster relief operations”, *European Journal of Operational Research*, Vol. 140 No.1, pp. 118–133.
- BCG (2015), *UNICEF/WFP Return on Investment for Emergency Preparedness Study*, Final Report, Boston Consulting Group, January.
- Bealt, J., Barrera, J.C.F. and Mansouri, S.A. (2016), Collaborative relationships between logistics service providers and humanitarian organizations during disaster relief operations”, *Journal of Humanitarian Logistics and Supply Chain Management*, Vol. 6 No. 2, pp. 118–144.
- Besiou, M., Pedraza-Martinez, A.J. and Van Wassenhove, L. (2014), “Vehicle supply chains in humanitarian operations: Decentralization, operational mix, and earmarked funding”, *Production and Operations Management*, Vol. 23 No. 11, pp. 1950–1965.
- Besiou, M., Stapleton, O. and Van Wassenhove, L.N. (2011), “System dynamics for humanitarian operations”, *Journal of Humanitarian Logistics and Supply Chain Management*, Vol. 1, No. 1, pp.78–103.
- Bhattacharya, S., Hasija, S., and Van Wassenhove, L. N. (2014), “Designing efficient infrastructural investment and asset transfer mechanisms in humanitarian supply chains”, *Production and Operations Management*, Vol. 23 No. 9, pp. 1511–1521.
- Bölsche, D., Klumpp, M., and Abidi, H. (2013), “Specific competencies in humanitarian logistics education”, *Journal of Humanitarian Logistics and Supply Chain Management*, Vol. 3 No. 2, pp. 99–128.
- British Government (2014), Statement in “Justine Greening: global humanitarian aid system is near breaking point”, *The Guardian*, Friday 11 April 2014, Available at: <http://www.theguardian.com/global-development/2014/apr/11/justine-greening-global-humanitarian-breaking-point> (accessed on May 2015).
- Caunhye, A.M., Nia, X. and Pokharel, S. (2012), “Optimization models in emergency logistics: A literature review”, *Socio-Economic Planning Sciences*, Vol. 46 No. 1, pp. 4–13.

- Chaikin, D. (2003), “Towards improved logistics: challenges and questions for logisticians and managers”, *Forced Migration Review*, Vol. 18 No. 10, p. 10.
- Chang, M. S., Tseng, Y. L., and Chen, J. W. (2007), “A scenario planning approach for the flood emergency logistics preparation problem under uncertainty”, *Transportation Research Part E: Logistics and Transportation Review*, Vol. 43 No. 6, pp. 737–754.
- Cutter, S.L., Barnes, L., Berry, M., Burton, C., Evans, E., Tate, E., and Webb, J. (2008), “A place-based model for understanding community resilience to natural disasters”, *Global Environmental Change*, Vol. 18, pp. 598–606.
- D’Haene, C., Verlinde, S. and Macharis, C. (2015), “Measuring while moving (humanitarian supply chain performance measurement – status of research and current practice)”, *Journal of Humanitarian Logistics and Supply Chain Management*, Vol. 5 No. 2, pp. 146–161.
- de Leeuw, S., Vis, I.F.A. and Jonkman, S. (2012), “Exploring Logistics Aspects of Flood Emergency Measures”, *Journal of Contingencies and Crisis Management*, Vol. 20 No. 3, pp. 166–179.
- Dilley, M., Chen, R., Deichmann, U., Lerner-Lam, A. L., Arnold, M., Agwe, J., Buys, P., Kjekstad, O., Lyon, B., and Yetman, G. (2005), *Natural disaster hotspots: A global risk analysis*, Washington, D.C.: World Bank Publications.
- Everywhere, Jahre, M. and Navangul, K.A. (2011), “Predicting the Unpredictable – Demand Forecasting in International Humanitarian Response”, NOFOMA Proceedings 2011, Harstad University College, June, Norway.
- Franklin, C., and Todt, K. (2013), “Community resiliency through recovery resource supply chain planning”, *Journal of Business Continuity & Emergency Planning*, Vol. 7 No. 3, pp.193–203.
- Goffnett, S.P., Helferich, O.K., and Buschlen, E. (2013), Integrating service-learning and humanitarian logistics education”, *Journal of Humanitarian Logistics and Supply Chain Management*, Vol. 3 No. 2, pp. 161–186.
- Green, J.L., de Weck, O.L. and Suarez, P. (2013), “Evaluating the economic sustainability in Senegal”, *Journal of Humanitarian Logistics and Supply Chain Management*, Vol. 3 No.1, pp. 7–21.
- Håkansson, H., Ford, D., Gadde, L-E, Snehota, I. and Waluszewski, A. (2009), *Business in Networks*, Wiley, Chichester
- Haavisto, I. and Goentzel, J. (2015), “Measuring humanitarian supply chain performance in a multi-goal context”, *Journal of Humanitarian Logistics and Supply Chain Management*, Vol. 5 No. 3, pp. 300–324.
- Harteveld, C., and Suarez, P. (2015), “Guest editorial: games for learning and dialogue on humanitarian work”, *Journal of Humanitarian Logistics and Supply Chain Management*, Vol. 5 No. 1, pp. 61–72.
- Heaslip, G., Sharif, A.M. and Althonayan, A. (2012), “Employing a systems-based perspective to the identification of inter-relationships within humanitarian logistics”, *International Journal of Production Economics*, Vol. 139, pp. 377–392.

Holguín-Veras, J., Jaller, M., Van Wassenhove, L. N., Pérez, N., and Wachtendorf, T. (2012), “On the unique features of post-disaster humanitarian logistics“, *Journal of Operations Management*, Vol. 30 No. 7, pp. 494–506.

Jahre, M. (2016), “Supply Chain Strategies in Humanitarian Logistics: A Review of how Actors Mitigate Supply Chain Risks”, *NOFOMA Conference Proceedings*, Turku, June 2016.

Jahre, M. and Fabbe-Costes, N. (2005) Adaptation and Adaptability in Logistics Networks, *International Journal of Logistics: Research and Applications*, Vol. 8 No. 2, pp.143-157.

Jahre, M., and Fabbe-Costes, N. (2015), “How standards and modularity can improve humanitarian supply chain responsiveness: The case of emergency response units”, *Journal of Humanitarian Logistics and Supply Chain Management*, Vol. 5 No. 3, pp. 348–386.

Jahre, M., and Heigh, I. (2008), “Does the current constraints in funding promote failure in humanitarian supply chains?”, *Supply Chain Forum: An International Journal*, Vol. 9 No. 2, pp. 44–54.

Jahre, M., Kembro, J., Rezvanian, T., Håpnes, S.J., Ergun, O., and Berling P. (2016), “Integrating Supply Chains for Emergencies and Ongoing Operations in UNHCR”, *Journal of Operations Management*, Vol. 45, pp. 57-72

Kaneberg, E., Hertz, S., and Jensen, L-M. (2016), “Emergency preparedness planning in developed countries: the Swedish case”, *Journal of Humanitarian Logistics and Supply Chain Management*, Vol. 6 No. 2, pp. 145–172.

Kovács, G., and Spens, K. M. (2007), “Humanitarian logistics in disaster relief operations”, *International Journal of Physical Distribution and Logistics Management*, Vol. 37 No. 2, pp. 99–114.

Kovács, G., and Spens, K. M. (2011), “Humanitarian logistics and supply chain management: the start of a new journal”, *Journal of Humanitarian Logistics and Supply Chain Management*, Vol. 1 No. 1, pp. 5–14.

Kovács, G., Tatham, P., and Larson, P.D. (2012), “What skills are needed to be a humanitarian logistician?”, *Journal of Business Logistics*, Vol. 33 No. 3, pp. 245–258.

Kunz, N., and Reiner, G. (2012), “A meta-analysis of humanitarian logistics research”, *Journal of Humanitarian Logistics and Supply Chain Management*, Vol. 2 No. 2, pp. 116–147.

Kunz, N., Reiner, G., and Gold, S. (2014), “Investing in disaster management capabilities versus pre-positioning inventory: A new approach to disaster preparedness”, *International Journal of Production Economics*, Vol. 157, pp. 261–272.

Leiras, A., de Brito, I., Peres Jr., E.Q., Bertazzo, T.R. Tsugunobu, H. and Yoshizaki, Y. (2014), “Literature review of humanitarian logistics research: trends and challenges”, *Journal of Humanitarian Logistics and Supply Chain Management*, Vol. 4 No. 1, pp. 95–130.

Listou, T. (2015), *Supply Chain Designs for Preparedness – a case study of the Norwegian defence*, Published doctoral dissertation, Lund University, Lund, Sweden.

- Lu, Q., Goh, M., and De Souza, R. (2013), “Learning mechanisms for humanitarian logistics”, *Journal of Humanitarian Logistics and Supply Chain Management*, Vol. 3 No. 2, pp. 149–160.
- MacPherson, A., and Holt, R. (2007), “Knowledge, learning and small firm growth: a systematic review of the evidence”, *Research Policy*, Vol. 36 No. 2, pp. 172–192.
- Maghsoudi, A., and Pazirandeh, A. (2016), “Visibility, resource sharing and performance in supply chain relationships: insights from humanitarian practitioners”, *Supply Chain Management: An International Journal*, Vol. 21 No. 1, pp. 125–139.
- Majewski, B., Navangul, K. A., and Heigh, I. (2010), “A peek into the future of humanitarian logistics: forewarned is forearmed”, *Supply Chain Forum: An International Journal*, Vol. 11 No. 3, pp. 4–19.
- Maon, F., Lindgreen, A., and Swaen, V. (2009), “Designing and implementing corporate social responsibility: An integrative framework grounded in theory and practice”, *Journal of Business Ethics*, Vol. 87 No.1, pp. 71–89.
- Meier, P. (2014), *Digital Humanitarians. How BIG data is Changing the Face of Humanitarian Response*, CRC Press, Taylor & Francis Group. USA
- Miles, M. B., and Huberman, A. M. (1985), *Qualitative data analysis*. Newbury Park, CA: Sage.
- Nooraie, S.V., and Parast, M.M. (2016), “Mitigating supply chain disruptions through the assessment of trade-offs among risks, costs and investments in capabilities”, *International Journal of Production Economics*, Vol. 171, pp. 8–21.
- Overstreet, R.E., Hall, D., Hanna, J.B., and Rainer Jr., R.K. (2011), “Research in humanitarian logistics”, *Journal of Humanitarian Logistics and Supply Chain Management*, Vol. 1 No.2, pp. 114–131.
- Pardasani, M. (2006), “Tsunami reconstruction and redevelopment in the Maldives: A case study of community participation and social action”, *Disaster Prevention and Management: An International Journal*, Vol. 15 No. 1, pp. 79–91.
- Pazirandeh, A. (2010), “Local Capacity Building: A Logistics Perspective in Disaster Relief”, *21st Annual POMS Conference*. POMS Conference Proceedings.
- Pazirandeh, A., and Herlin, H. (2014), “Unfruitful cooperative purchasing: a case of humanitarian purchasing power”, *Journal of Humanitarian Logistics and Supply Chain Management*, Vol. 4 No.1, pp. 24–42.
- Pazirandeh, A., and Norrman, A. (2014), “An interrelation model of power and purchasing strategies: A study of vaccine purchase for developing countries”, *Journal of Purchasing & Supply Management*, Vol. 20 No.1, pp. 41–53.
- Pedraza-Martinez, A.J., Stapleton, O., and Van Wassenhove, L.N., (2011), “Field vehicle fleet management in humanitarian operations: A case-based approach”, *Journal of Operations Management*, Vol. 29, pp. 404–421.
- Peretti, U., Tatham, P., Wu, Y. and Sgarbossa, F. (2015), “Reverse logistics in humanitarian operations: challenges and opportunities”, *Journal of Humanitarian Logistics and Supply Chain Management*, Vol. 5 No. 2, pp. 253–274.

- Pettit, S. and Beresford, A. (2009), “Critical success factors in the context of humanitarian aid supply chains”, *International Journal of Physical Distribution and Logistics Management*, Vol. 39 No. 6, pp. 450–468.
- Schiffling, S., and Piecyk, M. (2014), “Performance measurement in humanitarian logistics – a customer-oriented approach”, *Journal of Humanitarian Logistics and Supply Chain Management*, Vol. 4 No.2, pp. 198–221.
- Scholten, K., Sharkey, P., and Fynes, S.B. (2014), “Mitigation processes – antecedents for building supply chain resilience”, *Supply Chain Management: An International Journal*, Vol. 19 No. 2, pp. 211–228.
- Schulz, S. (2008), *Disaster Relief Logistics. Benefits of and Impediments to Cooperation between Humanitarian Organizations*. Kuehne Foundation Book Series on Logistics 15.
- Seuring, S., and Müller, M. (2008), “From a literature review to a conceptual framework for sustainable supply chain management”, *Journal of Cleaner Production*, Vol. 16 No. 15, pp. 1699–1710.
- Sheppard, A., Tatham, P, Fisher, R., and Gapp, R. (2013), “Humanitarian Logistics: enhancing the engagement of local populations”, *Journal of Humanitarian Logistics and Supply Chain Management*, Vol. 3 No. 1, pp. 22–36.
- SPREP (2016), Disaster waste in the spotlight at Clean Pacific Roundtable, <http://sprep.org/waste-management-pollution-control/disaster-waste-in-the-spotlight-at-clean-pacific-roundtable>
- Starr, M., and Van Wassenhove, L. (2014), “Introduction to the Special Issue on Humanitarian Operations and Crisis Management”, *Production and Operations Management*, Vol. 23 No. 6, pp. 925–937.
- Stauffer, J.M., Pedraza-Martinez, A., and Van Wassenhove, L.N. (2015), “Temporary hubs for the global vehicle supply chain in humanitarian operations”, *Production and Operations Management*, Vol. 25 No.2, pp.192–209.
- Swanson, D.R., and Smith, R.J. (2013), “A Path to a Public-Private Partnership: Commercial Logistics Concepts Applied to Disaster Response”, *Journal of Business Logistics*, Vol. 34 No. 4, pp. 335–346.
- Tabaklar, T., Halldórsson, A., Kovács, G., and Spens, K. (2015), “Borrowing theories in humanitarian supply chain management”, *Journal of Humanitarian Logistics and Supply Chain Management*, Vol. 5 No. 3, pp. 281–299.
- Tadele, F., and Manyena, S.B. (2009), “Building disaster resilience through capacity building in Ethiopia”, *Disaster Prevention and Management: An International Journal*, Vol. 18 No. 3, pp. 317–326.
- Tatham, P. (2012), “Some reflections on the breadth and depth of the field of humanitarian logistics and supply chain management”, *Journal of Humanitarian Logistics and Supply Chain Management*, Vol. 2 No. 2, pp. 108–111.

Tatham, P., and Spens, K. (2011), “Towards a humanitarian logistics knowledge management system”, *Disaster Prevention and Management: An International Journal*, Vol. 20 No.1, pp. 6–26.

Thomas, A., and Kopczak, L. (2005), *From logistics to supply chain management. The path forward in the humanitarian sector*, <http://www.fritzinstitute.org>, accessed Jan 11, 2007.

Thomas, A., and Mizushima M. (2005), “Logistics training: necessity or luxury?” *Forced Migration Rev*, Vol. 22 No. 22, pp. 60–61.

Tint, B.S., McWaters, V., and van Driel, R. (2015), “Applied improvisation training for disaster readiness and response: Preparing humanitarian workers and communities for the unexpected”, *Journal of Humanitarian Logistics and Supply Chain Management*, Vol. 5 No.1, pp. 73–94.

Tomasini, R.M., and Van Wassenhove, L. N. (2009), “From preparedness to partnerships: case study research on humanitarian logistics”, *International Transactions in Operational Research*, Vol. 16 No. 5, pp. 549–559.

Tranfield, D., Denyer, D., and Smart, P. (2003), “Towards a methodology for developing evidence-informed management knowledge by means of systematic review”, *British Journal of Management*, Vol. 14 No. 3, pp. 207–222.

UN (2010), *Early Preparedness, Predictability, Enhanced Coordination, Essential for Effective Delivery of Humanitarian Assistance*, Economic and Social Council meeting coverage, 2010 Substantive session, 33<sup>rd</sup> and 34<sup>th</sup> Meetings. available at: <http://www.un.org/press/en/2010/ecosoc6442.doc.htm> (accessed May 2015).

UNEP/OCHA (2011), Disaster Waste Management Guidelines, <https://docs.unocha.org/sites/dms/Documents/DWMSG.pdf>

UNDP (2015), *Act Now-Save later*, available at: [http://www.dk.undp.org/content/undp/en/home/ourwork/get\\_involved/ActNow/](http://www.dk.undp.org/content/undp/en/home/ourwork/get_involved/ActNow/) (accessed on May 2015)

Vaillancourt, A. (2016), “A theoretical framework for consolidation in humanitarian logistics”, *Journal of Humanitarian Logistics and Supply Chain Management*, Vol. 6 No. 1, pp. 2–23.

Van Wassenhove, L.N. (2006), “Humanitarian aid logistics: supply chain management in high gear”, *Journal of the Operational Research Society*, 57(5), 475–489.

Van Wassenhove, L., and Pedraza-Martinez, A.J., (2012), “Using OR to adapt supply chain management best practices to humanitarian logistics”, *International Transactions in Operational Research*, Vol. 19 No. 1–2, pp. 307–322.

Vega, D., and Roussat, C. (2015), “Humanitarian logistics: the role of logistics service providers”, *International Journal of Physical Distribution and Logistics Management*, Vol. 45 No. 4, pp. 352-375.

Whybark, D.C. (2007), “Issues in managing disaster relief inventories”, *International Journal of Production Economics*, Vol. 108 No. 1, pp. 228–235.

Wilberg, K.H., and Olafsen, A. L. (2012), *Improving humanitarian response through an innovative pre-positioning concept: an investigation of how commercial vessels can be used to store and transport relief items*. Master Thesis in Business and Economics, BI Norwegian Business School.

Wisner, B., Blaikie, P., Cannon, T., and Davis, I. (2003), *At Risk: natural hazards, people's vulnerability and disasters*, Routledge, 2003.

## Appendix A: Methodological procedure – The systematic review protocol

Adapting the method from Macpherson and Holt (2007), we carried out a systematic review of organizations that are actively and explicitly involved with emergency preparedness and discuss their efforts publicly. This process starts by outlining the review protocol and mapping the area by accessing, retrieving, and judging the quality and relevance of research, and then moves to reporting the findings, identified gaps, and suggestions for future research.

### Search and selection method

Following the suggestions by Tranfield et al. (2003), we conducted a systematic selection to find the relevant organizations. Five stages of search and selection were performed (Table A1). We used keywords partly based on the literature review including a broader range of terms that used by the sector (that is, humanitarian, disaster, and emergency). We conducted an additional search on the specific combination terms of “disaster relief preparedness” and “logistics preparedness” in order to identify organizations that utilize them. The keyword search resulted in 11 of the larger humanitarian organizations (Table 1, last column, second row, result of *a*). The three authors jointly decided on the inclusion and exclusion criteria, as indicated in Table 1. We excluded national American organizations that did not necessarily focus on disaster relief, local offices of the organizations, the hits related to preparedness of people against disasters, and vacancy postings for logistics positions (exclusion criteria of stage two in Table 1). However, organizations were reviewed for possible projects in the area. In a second round (that is, refine, select, and sort in Table A1), we undertook the following steps for each organization using Google searches:

- 1) A keyword search for preparedness OR logistics preparedness AND the name of the organization;
  - 2) A general scan of the website for preparedness and logistics preparedness discussions;
  - 3) A keyword search using the search function in organizations’ sites for preparedness OR logistics preparedness;
  - 4) Search for discussions in an organization’s reports related to strengthening of logistics capabilities and capacities, even if not referred to as preparedness; and
  - 5) Checking general logistics and supply documents of the organization (for example, webpages, strategy notes, reports, lessons learned, etc.) for discussions related to strengthening of logistics capabilities and capacities, even if not referred to as preparedness.
- We reviewed discussions or reports that referred directly to preparedness and logistics preparedness even if they did not use those exact terms, but excluded documents discussing preparedness in relation to a specific mission or case.

*Table A1: Stages of the process for selecting organizations and material for review*

Stages	Details and sequence of activities	No. of records/organizations (rounded numbers from February 2015)
Search rounds	1. Google search engine <ol style="list-style-type: none"> <li>a. preparedness + humanitarian</li> <li>b. preparedness + disaster</li> <li>c. preparedness + emergency</li> <li>d. “disaster relief preparedness”</li> <li>e. “logistics preparedness”</li> <li>f. “logistics preparedness” + vacancy</li> </ol> 2. The titles of these hits were scanned on the Google search	a. ±4 m total hits b. 35 m total hits c. 38 m total hits d. 53,000 total hits e. 19,700 total hits f. 6700 total hits

	result pages based on the criteria listed in select and sort stage	
Select and sort	<p>2. Exclusion criteria:</p> <ul style="list-style-type: none"> <li>• National American organizations that do not deal with disaster relief</li> <li>• Those discussing population preparedness against disasters; i.e., population education</li> <li>• Local offices of international organizations</li> <li>• Vacancy postings</li> </ul>	<p>a. 11 orgs.: IFRC, FAO<sup>1</sup>, CARE, PAHO, WFP, UNSSC, UNICEF, Save the Children,<sup>2</sup> UNHCR, Oxfam, OCHA</p> <p>b. 2 orgs.: FEMA, IFRC</p> <p>c. 1 org.: FEMA</p> <p>d. 3 orgs.: OCHA, IAEA, UNICEF</p> <p>e. 9 orgs.: IFRC, Logcluster, WHO, UNJLC, IOM, FEMA, WorldVision, UNHCR, Oxfam</p> <p>f. excluded</p>
Refine, select, and sort (deeper review)	<p>3. Exclusion criteria (extensive review of organizations):</p> <ul style="list-style-type: none"> <li>• Those only discussing local community emergency preparedness</li> <li>• No focus on emergency relief</li> <li>• Focus on one specific aspect of preparedness (health, elderly, etc.)</li> <li>• Non-operational organizations</li> </ul>	IFRC, CARE, WFP, UNHCR, Oxfam, FEMA, WorldVision, IOM, UNICEF, WHO
Final selection	<p>4. Added organizations:</p> <ul style="list-style-type: none"> <li>• Mentioned as part of joint projects in reviewed documents</li> <li>• Organizations that, according to our knowledge, had logistics preparedness initiatives</li> </ul> <p>5. Exclusion criteria:</p> <ul style="list-style-type: none"> <li>• Not discussing preparedness in public documents</li> </ul>	Mercy Corps, MSF, HelpAge

When reviewing documents from the selected organizations, other organizations named in relation to logistics preparedness were also reviewed. From these additional organizations, only those who had specific efforts in emergency preparedness were included in the final study.

#### Data extraction method

We followed Tranfield et al.'s (2003) recommendation and used a data extraction form to provide a historical record of decisions made during the process and to provide the data repository from which the analysis emerges. Data extraction includes coding and classification of collated documents by identifying specific characteristics in them. The following stages and *keyword* searches were carried out for each organization to compile sources of data:

1. From the Google search engine: *preparedness/logistics preparedness* + the org. name
2. General scan of the organization's website: *preparedness* and *logistics preparedness* discussions
3. From the organization's own webpage search engine: *preparedness/logistics preparedness*
4. Organization's reports: discussions related to strengthening of logistics capabilities

<sup>1</sup> <http://www.fao.org/europe/log/activities/humanitarian-response-and-preparedness/en/>

<sup>2</sup> <http://www.savethechildren.org/site/c.8rKLIXMGIpI4E/b.8373277/>

and capacities (even if not referred to as preparedness)

5. General logistics and supply documents (webpages, strategy notes, reports, lessons learnt, etc.): discussions related to strengthening of logistics capabilities and capacities (even if not referred to as preparedness)

Discussions or documents that focused on a specific mission or region were excluded in this study. Table 2 shows the number of webpages, documents and the document pages reviewed in this study. This table does not include reviewed reports and webpages on general organization information. Although the numbers in this table do not indicate the absolute amount of information on preparedness or logistics preparedness by the organizations, they can give an indication of the amount of focus each organization has allocated to communicating each topic.

*Table A2: Quantity and concentration of data reviewed for each organization*

Data extraction stages for each organization	Number of documents (pages) reviewed												
	WFP	IFRC	MSF	UNHCR	IOM	UNICEF	WHO	CARE	Mercy Corps	World Vision	Oxfam	Help Age	FEMA
Reports on disaster relief and/or preparedness	5 (146)	6 (155)	2 (131)	8 (772)	3 (699)	5 (60)	4 (148)	2 (27)	-	2 (19)	2 (49)	6 (79)	5 (77)
Webpages on disaster relief and/or preparedness	2	2	1	1	5	5	2	1	2	4	3	5	2
Reports on logistics efforts	2 (134)	2 (20)	-	1 (115)	1 (4)	1 (4)	1 (6)	1** (3)	1** (3)	1** (2)	1** (4)	-	1 (8)
Webpages on logistics efforts	2	2	5	-	3	2	1	-	-	-	-	-	2
Total*	11 (280)	12 (175)	8 (131)	10 (887)	12 (703)	13 (46)	8 (154)	4 (30)	3 (3)	7 (21)	6 (53)	11 (79)	10 (85)

\*Total page numbers reviewed excluding the webpages \*\* Vacancy on a logistics position

From the reports and webpages compiled, data was extracted to map each organization's: (1) definition of emergency preparedness and logistics preparedness, and (2) logistics preparedness efforts made. To identify the former, in places where an explicit definition was missing, we reviewed the explanations or goals mentioned for emergency preparedness by the given organization.

### Analysis framework

Extracted data was inductively analyzed, coded, and reduced to find themes and deviations. As suggested by Seuring and Müller (2008), coding and classification of categories were based on the iterative process of content analysis of empirics and theory. Findings from this process were then compared to the academic literature presented in Section 2 to develop conclusions. Data were analyzed to (1) map definitions of emergency and logistics preparedness and (2) identify logistics preparedness related efforts.

*Definitions:* A content analysis of both emergency and logistics preparedness definitions was

conducted. Each concept (emergency and logistics preparedness) was analyzed separately and then compared to find the connection between the two. Definitions were reviewed to find commonalities. The following aspects were discussed in all definitions: (1) level of preparedness (e.g., organizational, network, or community), and (2) goals for preparedness. All aspects of the definitions were coded. As suggested in Miles and Huberman (1985), findings were summarized in tabular form and frequencies counted. For example, in the following definition of emergency preparedness from MSF, the goals are highlighted in bold text: “*the organizations base their emergency preparations on the concept that **urgent** medical cases cannot wait. Medical and logistical supplies, in the form of pre-packaged kits ready for **rapid deployment**, are stored in warehouses in key global locations. MSF also has a roster of experienced staff who can **leave immediately** in the emergency relief operations.*”

*Categorizing logistics preparedness efforts:* The first round of analysis of the efforts took place at the data extraction stage by coding and classifying the data; this is in line with Seuring and Müller's (2008) suggestion. To form categories, all logistics preparedness-related efforts by the organizations were listed in tabular form. In a second round, the extracted efforts were reclassified and regrouped inductively to form mutually exclusive categories. The frequency of efforts among organizations was re-stated and discussions were made based on the observations. These categories may not be an exhaustive record of all efforts by the organizations. However, the findings of the review show how humanitarian organizations address logistics preparedness.

*Comparative analysis:* Finally, the organizations were compared based on their efforts. The organizations were charted according to the number of efforts made in the different pairs of categories. Clusters of organizations were identified and discussions were formed based on our observations.